## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Umarov et al.

Application No:

**Initial Filing** 

International Filing Date:

19 November 2003

Priority Date:

21 November 2002

International Appl. No.:

PCT/RU2003/000503

Attorney Docket Number:

KHEMKA1 (temp #)

For:

METHOD FOR CONVERTING THERMAL ENERGY INTO

**USEFUL WORK** 

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

## **INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant(s) hereby notify the U.S. Patent and Trademark Office of the document(s) which is/are listed on the attached Form PTO-SB/08A. Copies of references are enclosed.

X (1) This IDS does NOT require a fee or 37 C.F.R. § 1.97(e) statement because it is
being filed (check all that apply):
X (a) Within three months of the filing date of a national application other than a
continued prosecution application under § 1.53(d): <b>OR</b>

- \_\_\_\_ (b) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; **OR** 
  - \_\_\_ (c) Before the mailing of a first Office action on the merits; **OR**

\_\_\_\_ (b) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of

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information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant(s) does/do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 13-0480 (please reference RADCHENKO1).

Respectfully submitted,

William D. McSpadden

Attorney for Applicant Reg. No. 44,234

5/23/2005

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	Initial Filing 10/5332
Filing Date	000000
First Named Inventor	Umarov
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	KHEMKA1 (temp)

Complete if Known

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		<sup>US-</sup> 3,940,298 A	02-24-1976	The USA as Represented by the Navy			
		<sup>US-</sup> 4,348,765 A	09-07-1982	Thermo Electron Corporation			
		<sup>US-</sup> 4,756,158 A	07-12-1988	Ilkka Arvola et al			
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) RU2078253 C1	04-27-1997	Smirnov Lev Nikolaevich		
		RU2162161 C2	01-20-2001	Samkhan Igor Isaakovich		
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	to to East.	
Examiner	Date	
Signature	Considered	

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Application Number Initial Filing 10/5332:

STATEMENT BY APPLICANT First Named Inventor Umarov

Sheet 2 of 2 First Named Inventor Umarov

Art Unit Not yet assigned

Examiner Name Not yet assigned

KHEMKA1 (temp #)

NON PATENT LITERATURE DOCUMENTS				
Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	International Search Report for PCT/RU2003/000503 dated 25 March 2004			
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Examiner	Date	:
Signature	Considered	

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